A semidefinite programming hierarchy for packing problems in discrete geometry

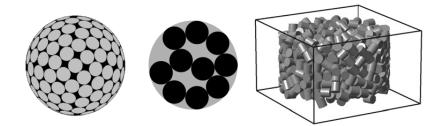
David de Laat (TU Delft) Joint work with Frank Vallentin (Universität zu Köln)

> Applications of Real Algebraic Geometry Aalto University – February 28, 2014

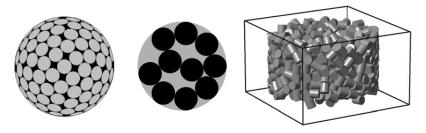
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- 3. Duality theory
- 4. Harmonic analysis on subset spaces
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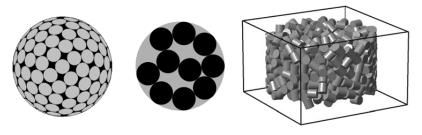
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These problems can be modeled as maximum independent set problems in graphs on infinitely many vertices

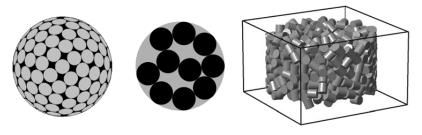


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Spherical cap packings

What is the maximum number of spherical caps of size t in S^{n-1} such that no two caps intersect in their interiors? $G = (V, E), \quad V = S^{n-1}, \quad E = \{\{x, y\} : x \cdot y \in (t, 1)\}$

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Independent sets correspond to valid packings

Maximum independent set problem for a finite graph as a 0/1 polynomial optimization problem:

$$\alpha(G) = \max\left\{\sum_{v \in V} x_v : x_v \in \{0, 1\} \text{ for } v \in V, \, x_u + x_v \le 1 \text{ for } \{u, v\} \in E\right\}$$

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► The Lasserre hierarchy for this problem (Laurent, 2003):

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- ▶
 ∂(G) is the Lovász ∂-number which specializes to the Delsarte
 LP-bound when G is the binary code graph

Generalization to infinite graphs

 Linear programming bound for spherical cap packings (Delsarte, 1977 / Kabatiansky, Levenshtein, 1978)

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- These graphs have finite independence number

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 $(K(J_i, J_j))_{i,j=1}^m \succeq 0$ for all $m \in \mathbb{N}$ and $J_1, \ldots, J_m \in V_t$

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- Cone of positive definite kernels: $C(V_t \times V_t) \geq 0$
- Cone of positive definite measures:

$$\begin{split} \mathcal{M}(V_t \times V_t)_{\succeq 0} &= \{ \mu \in \mathcal{M}(V_t \times V_t)_{\mathrm{sym}} : \mu(K) \geq 0 \text{ for all } K \in \mathcal{C}(V_t \times V_t)_{\succeq 0} \}, \\ \text{where } \mu(K) &= \int K(J, J') \, d\mu(J, J') \end{split}$$

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- ▶ Define the operator $A_t : C(V_t \times V_t)_{sym} \to C(I_{2t})$ by

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Generalization for compact topological packing graphs

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• The adjoint: $A_t^* \colon \mathcal{M}(I_{2t}) \to \mathcal{M}(V_t \times V_t)_{sym}$

Theorem

Suppose G is a compact topological packing graph. Then,

$$\vartheta'(G) = \operatorname{las}_1(G) \ge \cdots \ge \operatorname{las}_{\alpha(G)}(G) = \alpha(G).$$

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- ► Using vector valued notation: $\lambda = \int \chi_S d\sigma(S)$ for some probability measure σ on the set of independent sets
- ▶ Then, $\lambda(I_{=1}) = \int \chi_S(I_{=1}) \, d\sigma(S) = \int |S| \, d\sigma(S) \le \alpha(G)$

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These are infinite dimensional conic programs

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• $\langle ., . \rangle$ – trace inner product

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- $\langle ., . \rangle$ trace inner product
- F_k positive semidefinite matrices (Fourier coefficients)

 We use harmonic analysis on V_t and SOS characterizations to obtain finite dimensional semidefinite programs

• Assume
$$V = S^2$$
 and $t = 2$

- Symmetry: transitive action of O(3) on S^2
- Induced action on V_2 by $g\emptyset = \emptyset$ and $g\{v_1, v_2\} = \{gv_1, gv_2\}$
- ▶ Representation: $O(3) \rightarrow \mathcal{L}(\mathcal{C}(V_2)), gf(x) = f(g^{-1}x)$
- ▶ Bochner's theorem: A kernel $K \in C(V_2 \times V_2)_{\succeq 0}$ is of the form

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- Z_k zonal matrices corresponding to the above representation

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 H_k^{(-1)^{k+1}} are the remaining irreducible representations of O(3)

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- Modeling these constraints using sums of squares characterizations reduces the problems to finite dimensional semidefinite programs

Thank you

D. de Laat, F. Vallentin, A semidefinite programming hierarchy for packing problems in discrete geometry, arXiv:1311.3789 (2013), 21 pages.

Image credit: http://www.buddenbooks.com/jb/images/150a5.gif http://en.wikipedia.org/wiki/File:Disk_pack10.svg W. Zhang, K.E. Thompson, A.H. Reed, L. Beenken, *Relationship between packing structure and porosity in fixed* beds of equilateral cylindrical particles, Chemical Engineering Science **61** (2006), 8060–8074.