# Entanglement dimension and noncommutative polynomial optimization 

Sander Gribling (CWI/QuSoft)<br>David de Laat (MIT)<br>Monique Laurent (CWI/QuSoft/Tilburg University)

AMS Sectional Meeting, 29 September 2018
University of Delaware

## Bipartite correlations

## Bipartite correlations

- Two parties: Alice and Bob


## Bipartite correlations

- Two parties: Alice and Bob
- Questions: $S \times T$


## Bipartite correlations

- Two parties: Alice and Bob
- Questions: $S \times T$
- Answers: $A \times B$


## Bipartite correlations

- Two parties: Alice and Bob
- Questions: $S \times T$
- Answers: $A \times B$
- Let $\Gamma=A \times B \times S \times T$


## Bipartite correlations

- Two parties: Alice and Bob
- Questions: $S \times T$
- Answers: $A \times B$
- Let $\Gamma=A \times B \times S \times T$

Deterministic correlations:

- $P \in[0,1]^{\Gamma}$ of the form $P(a, b \mid s, t)=P_{\mathcal{A}}(a \mid s) P_{\mathcal{B}}(b \mid t)$ with

$$
P_{\mathcal{A}} \in\{0,1\}^{A \times S}, P_{\mathcal{B}} \in\{0,1\}^{B \times T}, \sum_{a} P_{\mathcal{A}}(a \mid s)=\sum_{b} P_{\mathcal{B}}(b \mid t)=1
$$

## Bipartite correlations

- Two parties: Alice and Bob
- Questions: $S \times T$
- Answers: $A \times B$
- Let $\Gamma=A \times B \times S \times T$

Deterministic correlations:

- $P \in[0,1]^{\Gamma}$ of the form $P(a, b \mid s, t)=P_{\mathcal{A}}(a \mid s) P_{\mathcal{B}}(b \mid t)$ with

$$
P_{\mathcal{A}} \in\{0,1\}^{A \times S}, P_{\mathcal{B}} \in\{0,1\}^{B \times T}, \sum_{a} P_{\mathcal{A}}(a \mid s)=\sum_{b} P_{\mathcal{B}}(b \mid t)=1
$$

Set of classical correlations:

- $C_{c}(\Gamma)=$ convex hull of deterministic correlations
- Here we assume access to shared randomness


## Bipartite quantum correlations

Quantum correlation:

$$
P(a, b \mid s, t)=\psi^{*}\left(E_{s}^{a} \otimes F_{t}^{b}\right) \psi
$$

## Bipartite quantum correlations

Quantum correlation:

$$
P(a, b \mid s, t)=\psi^{*}\left(E_{s}^{a} \otimes F_{t}^{b}\right) \psi
$$

- Unit vector $\psi \in \mathbb{C}^{d} \otimes \mathbb{C}^{d}$


## Bipartite quantum correlations

Quantum correlation:

$$
P(a, b \mid s, t)=\psi^{*}\left(E_{s}^{a} \otimes F_{t}^{b}\right) \psi
$$

- Unit vector $\psi \in \mathbb{C}^{d} \otimes \mathbb{C}^{d}$
- POVMs: $\left\{E_{s}^{a}\right\}_{a \in A},\left\{F_{t}^{b}\right\}_{b \in B} \subseteq \mathbb{C}^{d \times d}$


## Bipartite quantum correlations

Quantum correlation:

$$
P(a, b \mid s, t)=\psi^{*}\left(E_{s}^{a} \otimes F_{t}^{b}\right) \psi
$$

- Unit vector $\psi \in \mathbb{C}^{d} \otimes \mathbb{C}^{d}$
- POVMs: $\left\{E_{s}^{a}\right\}_{a \in A},\left\{F_{t}^{b}\right\}_{b \in B} \subseteq \mathbb{C}^{d \times d}$
- $E_{s}^{a}$ and $F_{t}^{b}$ are Hermitian positive semidefinite $d \times d$ matrices


## Bipartite quantum correlations

Quantum correlation:

$$
P(a, b \mid s, t)=\psi^{*}\left(E_{s}^{a} \otimes F_{t}^{b}\right) \psi
$$

- Unit vector $\psi \in \mathbb{C}^{d} \otimes \mathbb{C}^{d}$
- POVMs: $\left\{E_{s}^{a}\right\}_{a \in A},\left\{F_{t}^{b}\right\}_{b \in B} \subseteq \mathbb{C}^{d \times d}$
- $E_{s}^{a}$ and $F_{t}^{b}$ are Hermitian positive semidefinite $d \times d$ matrices
- $\sum_{a} E_{s}^{a}=\sum_{b} F_{t}^{b}=I$ for all $s, t$


## Bipartite quantum correlations

Quantum correlation:

$$
P(a, b \mid s, t)=\psi^{*}\left(E_{s}^{a} \otimes F_{t}^{b}\right) \psi
$$

- Unit vector $\psi \in \mathbb{C}^{d} \otimes \mathbb{C}^{d}$
- POVMs: $\left\{E_{s}^{a}\right\}_{a \in A},\left\{F_{t}^{b}\right\}_{b \in B} \subseteq \mathbb{C}^{d \times d}$
- $E_{s}^{a}$ and $F_{t}^{b}$ are Hermitian positive semidefinite $d \times d$ matrices
- $\sum_{a} E_{s}^{a}=\sum_{b} F_{t}^{b}=I$ for all $s, t$
- The quantum correlations form a convex set

$$
C_{q}(\Gamma)=\bigcup_{d} C_{q}^{d}(\Gamma)
$$

## Bipartite quantum correlations

Quantum correlation:

$$
P(a, b \mid s, t)=\psi^{*}\left(E_{s}^{a} \otimes F_{t}^{b}\right) \psi
$$

- Unit vector $\psi \in \mathbb{C}^{d} \otimes \mathbb{C}^{d}$
- POVMs: $\left\{E_{s}^{a}\right\}_{a \in A},\left\{F_{t}^{b}\right\}_{b \in B} \subseteq \mathbb{C}^{d \times d}$
- $E_{s}^{a}$ and $F_{t}^{b}$ are Hermitian positive semidefinite $d \times d$ matrices
- $\sum_{a} E_{s}^{a}=\sum_{b} F_{t}^{b}=I$ for all $s, t$
- The quantum correlations form a convex set

$$
C_{q}(\Gamma)=\bigcup_{d} C_{q}^{d}(\Gamma)
$$

- $D_{q}(P)=\min \left\{d^{2}: d \in \mathbb{N}, P \in C_{q}^{d}(\Gamma)\right\}$


## More on entanglement dimension

- $C_{c}(\Gamma)$ is contained in $C_{q}(\Gamma)$


## More on entanglement dimension

- $C_{c}(\Gamma)$ is contained in $C_{q}(\Gamma)$
- Containment is strict for $|A|,|B|,|S|,|T| \geq 2$


## More on entanglement dimension

- $C_{c}(\Gamma)$ is contained in $C_{q}(\Gamma)$
- Containment is strict for $|A|,|B|,|S|,|T| \geq 2$
- If $P$ is deterministic or only uses local randomness, then $D_{q}(P)=1$. But other classical correlations (which use shared randomness) have $D_{q}(P)>1$


## More on entanglement dimension

- $C_{c}(\Gamma)$ is contained in $C_{q}(\Gamma)$
- Containment is strict for $|A|,|B|,|S|,|T| \geq 2$
- If $P$ is deterministic or only uses local randomness, then $D_{q}(P)=1$. But other classical correlations (which use shared randomness) have $D_{q}(P)>1$
- (But if $D_{q}(P)>|\Gamma|+1-|S||T|$, then $P$ is not classical)


## More on entanglement dimension

- $C_{c}(\Gamma)$ is contained in $C_{q}(\Gamma)$
- Containment is strict for $|A|,|B|,|S|,|T| \geq 2$
- If $P$ is deterministic or only uses local randomness, then $D_{q}(P)=1$. But other classical correlations (which use shared randomness) have $D_{q}(P)>1$
- (But if $D_{q}(P)>|\Gamma|+1-|S||T|$, then $P$ is not classical)
- Computing $D_{q}(P)$ is NP-hard (Stark 2015)


## Dimension witnesses

- A d-dimensional dimension witness is a halfspace containing $C_{q}^{d}(\Gamma)$, but not the full set $C_{q}(\Gamma)$ (Brunner, Pironio, Acin, Gisin, Méthot, Scarani 2008)


## Dimension witnesses

- A d-dimensional dimension witness is a halfspace containing $C_{q}^{d}(\Gamma)$, but not the full set $C_{q}(\Gamma)$ (Brunner, Pironio, Acin, Gisin, Méthot, Scarani 2008)
- This suggests the parameter $\min \left\{d: P \in \operatorname{conv}\left(C_{q}^{d}(\Gamma)\right)\right\}$, which can also be written as

$$
\begin{aligned}
& \min \left\{\max _{i=1, \ldots, I} D_{q}\left(P_{i}\right): I \in \mathbb{N}, \lambda \in \mathbb{R}_{+}^{I},\right. \\
& \left.\qquad \sum_{i=1}^{I} \lambda_{i}=1, P=\sum_{i=1}^{I} \lambda_{i} P_{i}, P_{i} \in C_{q}(\Gamma)\right\}
\end{aligned}
$$

## Dimension witnesses

- A d-dimensional dimension witness is a halfspace containing $C_{q}^{d}(\Gamma)$, but not the full set $C_{q}(\Gamma)$ (Brunner, Pironio, Acin, Gisin, Méthot, Scarani 2008)
- This suggests the parameter $\min \left\{d: P \in \operatorname{conv}\left(C_{q}^{d}(\Gamma)\right)\right\}$, which can also be written as

$$
\begin{aligned}
& \min \left\{\max _{i=1, \ldots, I} D_{q}\left(P_{i}\right): I \in \mathbb{N}, \lambda \in \mathbb{R}_{+}^{I},\right. \\
& \left.\qquad \sum_{i=1}^{I} \lambda_{i}=1, P=\sum_{i=1}^{I} \lambda_{i} P_{i}, P_{i} \in C_{q}(\Gamma)\right\}
\end{aligned}
$$

- This parameter is equal to 1 if and only if $P$ is classical

Average entanglement dimension

$$
\begin{aligned}
& A_{q}(P)=\inf \left\{\sum_{i=1}^{I} \lambda_{i} D_{q}\left(P_{i}\right): I \in \mathbb{N}, \lambda \in \mathbb{R}_{+}^{I}\right. \\
&\left.\sum_{i=1}^{I} \lambda_{i}=1, P=\sum_{i=1}^{I} \lambda_{i} P_{i}, P_{i} \in C_{q}(\Gamma)\right\}
\end{aligned}
$$

Average entanglement dimension

$$
\begin{aligned}
& A_{q}(P)=\inf \left\{\sum_{i=1}^{I} \lambda_{i} D_{q}\left(P_{i}\right): I \in \mathbb{N}, \lambda \in \mathbb{R}_{+}^{I}\right. \\
&\left.\sum_{i=1}^{I} \lambda_{i}=1, P=\sum_{i=1}^{I} \lambda_{i} P_{i}, P_{i} \in C_{q}(\Gamma)\right\}
\end{aligned}
$$

- We have $A_{q}(P) \leq D_{q}(P)$

Average entanglement dimension

$$
\begin{aligned}
& A_{q}(P)=\inf \left\{\sum_{i=1}^{I} \lambda_{i} D_{q}\left(P_{i}\right): I \in \mathbb{N}, \lambda \in \mathbb{R}_{+}^{I}\right. \\
&\left.\sum_{i=1}^{I} \lambda_{i}=1, P=\sum_{i=1}^{I} \lambda_{i} P_{i}, P_{i} \in C_{q}(\Gamma)\right\}
\end{aligned}
$$

- We have $A_{q}(P) \leq D_{q}(P)$

For $P \in C_{q}(\Gamma)$ we have $A_{q}(P)=1$ if and only if $P \in C_{c}(\Gamma)$

## Average entanglement dimension

$$
\begin{aligned}
& A_{q}(P)=\inf \left\{\sum_{i=1}^{I} \lambda_{i} D_{q}\left(P_{i}\right): I \in \mathbb{N}, \lambda \in \mathbb{R}_{+}^{I},\right. \\
&\left.\sum_{i=1}^{I} \lambda_{i}=1, P=\sum_{i=1}^{I} \lambda_{i} P_{i}, P_{i} \in C_{q}(\Gamma)\right\}
\end{aligned}
$$

- We have $A_{q}(P) \leq D_{q}(P)$

For $P \in C_{q}(\Gamma)$ we have $A_{q}(P)=1$ if and only if $P \in C_{c}(\Gamma)$

- $C_{q}(\Gamma)$ is not always closed (Slofstra 2016, ...)


## Average entanglement dimension

$$
\begin{aligned}
& A_{q}(P)=\inf \left\{\sum_{i=1}^{I} \lambda_{i} D_{q}\left(P_{i}\right): I \in \mathbb{N}, \lambda \in \mathbb{R}_{+}^{I},\right. \\
&\left.\sum_{i=1}^{I} \lambda_{i}=1, P=\sum_{i=1}^{I} \lambda_{i} P_{i}, P_{i} \in C_{q}(\Gamma)\right\}
\end{aligned}
$$

- We have $A_{q}(P) \leq D_{q}(P)$

For $P \in C_{q}(\Gamma)$ we have $A_{q}(P)=1$ if and only if $P \in C_{c}(\Gamma)$

- $C_{q}(\Gamma)$ is not always closed (Slofstra 2016, ...)
- There are $\Gamma$ and $\left\{P_{i}\right\} \subseteq C_{q}(\Gamma)$ such that $D_{q}\left(P_{i}\right) \rightarrow \infty$


## Average entanglement dimension

$$
\begin{aligned}
A_{q}(P) & =\inf \left\{\sum_{i=1}^{I} \lambda_{i} D_{q}\left(P_{i}\right): I \in \mathbb{N}, \lambda \in \mathbb{R}_{+}^{I},\right. \\
& \left.\sum_{i=1}^{I} \lambda_{i}=1, P=\sum_{i=1}^{I} \lambda_{i} P_{i}, P_{i} \in C_{q}(\Gamma)\right\}
\end{aligned}
$$

- We have $A_{q}(P) \leq D_{q}(P)$

For $P \in C_{q}(\Gamma)$ we have $A_{q}(P)=1$ if and only if $P \in C_{c}(\Gamma)$

- $C_{q}(\Gamma)$ is not always closed (Slofstra 2016, ...)
- There are $\Gamma$ and $\left\{P_{i}\right\} \subseteq C_{q}(\Gamma)$ such that $D_{q}\left(P_{i}\right) \rightarrow \infty$

If $C_{q}(\Gamma)$ is not closed, such a sequence also exists for $A_{q}(\cdot)$

## Commuting model

$$
P(a, b \mid s, t)=\operatorname{Tr}\left(X_{s}^{a} Y_{t}^{b} \psi \psi^{*}\right)=\psi^{*}\left(X_{s}^{a} Y_{t}^{b}\right) \psi
$$

## Commuting model

$$
P(a, b \mid s, t)=\operatorname{Tr}\left(X_{s}^{a} Y_{t}^{b} \psi \psi^{*}\right)=\psi^{*}\left(X_{s}^{a} Y_{t}^{b}\right) \psi
$$

- $\left\{X_{s}^{a}\right\}$ and $\left\{Y_{t}^{b}\right\}$ POVMs consisting of bounded operators on a separable Hilbert space


## Commuting model

$$
P(a, b \mid s, t)=\operatorname{Tr}\left(X_{s}^{a} Y_{t}^{b} \psi \psi^{*}\right)=\psi^{*}\left(X_{s}^{a} Y_{t}^{b}\right) \psi
$$

- $\left\{X_{s}^{a}\right\}$ and $\left\{Y_{t}^{b}\right\}$ POVMs consisting of bounded operators on a separable Hilbert space
- $\left[X_{s}^{a}, Y_{t}^{b}\right]=X_{s}^{a} Y_{t}^{b}-Y_{t}^{b} X_{s}^{a}=0$ for all $a, b, s, t$


## Commuting model

$$
P(a, b \mid s, t)=\operatorname{Tr}\left(X_{s}^{a} Y_{t}^{b} \psi \psi^{*}\right)=\psi^{*}\left(X_{s}^{a} Y_{t}^{b}\right) \psi
$$

- $\left\{X_{s}^{a}\right\}$ and $\left\{Y_{t}^{b}\right\}$ POVMs consisting of bounded operators on a separable Hilbert space
- $\left[X_{s}^{a}, Y_{t}^{b}\right]=X_{s}^{a} Y_{t}^{b}-Y_{t}^{b} X_{s}^{a}=0$ for all $a, b, s, t$
- Sets of commuting correlations $C_{q c}^{d}(\Gamma)$ and $C_{q c}(\Gamma)$


## Commuting model

$$
P(a, b \mid s, t)=\operatorname{Tr}\left(X_{s}^{a} Y_{t}^{b} \psi \psi^{*}\right)=\psi^{*}\left(X_{s}^{a} Y_{t}^{b}\right) \psi
$$

- $\left\{X_{s}^{a}\right\}$ and $\left\{Y_{t}^{b}\right\}$ POVMs consisting of bounded operators on a separable Hilbert space
- $\left[X_{s}^{a}, Y_{t}^{b}\right]=X_{s}^{a} Y_{t}^{b}-Y_{t}^{b} X_{s}^{a}=0$ for all $a, b, s, t$
- Sets of commuting correlations $C_{q c}^{d}(\Gamma)$ and $C_{q c}(\Gamma)$
- $D_{q c}(P)=\min \left\{d \in \mathbb{N} \cup\{\infty\}: P \in C_{\mathrm{qc}}^{d}(\Gamma)\right\}$


## Commuting model

$$
P(a, b \mid s, t)=\operatorname{Tr}\left(X_{s}^{a} Y_{t}^{b} \psi \psi^{*}\right)=\psi^{*}\left(X_{s}^{a} Y_{t}^{b}\right) \psi
$$

- $\left\{X_{s}^{a}\right\}$ and $\left\{Y_{t}^{b}\right\}$ POVMs consisting of bounded operators on a separable Hilbert space
- $\left[X_{s}^{a}, Y_{t}^{b}\right]=X_{s}^{a} Y_{t}^{b}-Y_{t}^{b} X_{s}^{a}=0$ for all $a, b, s, t$
- Sets of commuting correlations $C_{q c}^{d}(\Gamma)$ and $C_{q c}(\Gamma)$
- $D_{q c}(P)=\min \left\{d \in \mathbb{N} \cup\{\infty\}: P \in C_{\mathrm{qc}}^{d}(\Gamma)\right\} \leq D_{q}(P)$


## Commuting model

$$
P(a, b \mid s, t)=\operatorname{Tr}\left(X_{s}^{a} Y_{t}^{b} \psi \psi^{*}\right)=\psi^{*}\left(X_{s}^{a} Y_{t}^{b}\right) \psi
$$

- $\left\{X_{s}^{a}\right\}$ and $\left\{Y_{t}^{b}\right\}$ POVMs consisting of bounded operators on a separable Hilbert space
- $\left[X_{s}^{a}, Y_{t}^{b}\right]=X_{s}^{a} Y_{t}^{b}-Y_{t}^{b} X_{s}^{a}=0$ for all $a, b, s, t$
- Sets of commuting correlations $C_{q c}^{d}(\Gamma)$ and $C_{q c}(\Gamma)$
- $D_{q c}(P)=\min \left\{d \in \mathbb{N} \cup\{\infty\}: P \in C_{\mathrm{qc}}^{d}(\Gamma)\right\} \leq D_{q}(P)$

$$
\begin{aligned}
& A_{q c}(P)=\inf \left\{\sum_{i=1}^{I} \lambda_{i} D_{q c}\left(P_{i}\right): I \in \mathbb{N}, \lambda \in \mathbb{R}_{+}^{I},\right. \\
& \left.\sum_{i=1}^{I} \lambda_{i}=1, P=\sum_{i=1}^{I} \lambda_{i} P_{i}, P_{i} \in C_{q}(\Gamma)\right\}
\end{aligned}
$$

## Commuting model

$$
P(a, b \mid s, t)=\operatorname{Tr}\left(X_{s}^{a} Y_{t}^{b} \psi \psi^{*}\right)=\psi^{*}\left(X_{s}^{a} Y_{t}^{b}\right) \psi
$$

- $\left\{X_{s}^{a}\right\}$ and $\left\{Y_{t}^{b}\right\}$ POVMs consisting of bounded operators on a separable Hilbert space
- $\left[X_{s}^{a}, Y_{t}^{b}\right]=X_{s}^{a} Y_{t}^{b}-Y_{t}^{b} X_{s}^{a}=0$ for all $a, b, s, t$
- Sets of commuting correlations $C_{q c}^{d}(\Gamma)$ and $C_{q c}(\Gamma)$
- $D_{q c}(P)=\min \left\{d \in \mathbb{N} \cup\{\infty\}: P \in C_{\mathrm{qc}}^{d}(\Gamma)\right\} \leq D_{q}(P)$

$$
\begin{aligned}
& A_{q c}(P)=\inf \left\{\sum_{i=1}^{I} \lambda_{i} D_{q c}\left(P_{i}\right): I \in \mathbb{N}, \lambda \in \mathbb{R}_{+}^{I},\right. \\
& \\
& \left.\qquad \sum_{i=1}^{I} \lambda_{i}=1, P=\sum_{i=1}^{I} \lambda_{i} P_{i}, P_{i} \in C_{q}(\Gamma)\right\}
\end{aligned}
$$

We have $A_{q}(P)=A_{\mathrm{qc}}(P)$

## (Noncommutative) polynomial optimization

- Moment/sum-of-squares hiearchy for lower bounding the global minimum of a polynomial (Lasserre, Parrilo, ...)


## (Noncommutative) polynomial optimization

- Moment/sum-of-squares hiearchy for lower bounding the global minimum of a polynomial (Lasserre, Parrilo, ...)
- Noncommutative adaptation with applications in quantum information (Navascues, Pironio, Acín, ...)


## (Noncommutative) polynomial optimization

- Moment/sum-of-squares hiearchy for lower bounding the global minimum of a polynomial (Lasserre, Parrilo, ...)
- Noncommutative adaptation with applications in quantum information (Navascues, Pironio, Acín, ...)
- Allows for optimizing a linear functional over $C_{q c}(\Gamma)$


## (Noncommutative) polynomial optimization

- Moment/sum-of-squares hiearchy for lower bounding the global minimum of a polynomial (Lasserre, Parrilo, ...)
- Noncommutative adaptation with applications in quantum information (Navascues, Pironio, Acín, ...)
- Allows for optimizing a linear functional over $C_{q c}(\Gamma)$
- Extended to optimizing over $C_{q}^{d}(\Gamma)$ (Navascués, Feix, Araujo, Vértesi 2015)


## Tracial optimization

- Extension to tracial optimization (Burgdorf, Cafuta, Klep, Povh, Schweighofer, ...)


## Tracial optimization

- Extension to tracial optimization (Burgdorf, Cafuta, Klep, Povh, Schweighofer, ...)

Tracial optimization

$$
\begin{aligned}
\inf \{\operatorname{tr}(f(\mathbf{X})): & d \in \mathbb{N}, \\
& X_{1}, \ldots, X_{n} \in \mathbb{C}^{d \times d} \text { Hermitian, } \\
& \left.g_{1}(\mathbf{X}), \ldots, g_{m}(\mathbf{X}) \succeq 0\right\}
\end{aligned}
$$

## Tracial optimization

- Extension to tracial optimization (Burgdorf, Cafuta, Klep, Povh, Schweighofer, ...)


## Tracial optimization

$$
\begin{aligned}
\inf \{\operatorname{tr}(f(\mathbf{X})): & d \in \mathbb{N}, \\
& X_{1}, \ldots, X_{n} \in \mathbb{C}^{d \times d} \text { Hermitian, } \\
& \left.g_{1}(\mathbf{X}), \ldots, g_{m}(\mathbf{X}) \succeq 0\right\}
\end{aligned}
$$

- Tracial optimization gives a hierarchy of semidefinite programming lower bounds


## Tracial optimization

- Extension to tracial optimization (Burgdorf, Cafuta, Klep, Povh, Schweighofer, ...)


## Tracial optimization

$$
\begin{aligned}
\inf \{\operatorname{tr}(f(\mathbf{X})): & d \in \mathbb{N}, \\
& X_{1}, \ldots, X_{n} \in \mathbb{C}^{d \times d} \text { Hermitian, } \\
& \left.g_{1}(\mathbf{X}), \ldots, g_{m}(\mathbf{X}) \succeq 0\right\}
\end{aligned}
$$

- Tracial optimization gives a hierarchy of semidefinite programming lower bounds
- Note the dimension independence


## Tracial optimization

- Extension to tracial optimization (Burgdorf, Cafuta, Klep, Povh, Schweighofer, ...)


## Tracial optimization

$$
\begin{aligned}
\inf \{\operatorname{tr}(f(\mathbf{X})): & d \in \mathbb{N}, \\
& X_{1}, \ldots, X_{n} \in \mathbb{C}^{d \times d} \text { Hermitian, } \\
& \left.g_{1}(\mathbf{X}), \ldots, g_{m}(\mathbf{X}) \succeq 0\right\}
\end{aligned}
$$

- Tracial optimization gives a hierarchy of semidefinite programming lower bounds
- Note the dimension independence
- Our hierarchy is a variant on tracial optimization


## SDP hierarchy for lower bounding $A_{q c}(P)$

$$
\begin{aligned}
& A_{q c}(P)=\inf \left\{\sum_{i=1}^{I} \lambda_{i} D_{q c}\left(P_{i}\right): I \in \mathbb{N}, \lambda \in \mathbb{R}_{+}^{I},\right. \\
& \left.\sum_{i=1}^{I} \lambda_{i}=1, P=\sum_{i=1}^{I} \lambda_{i} P_{i}, P_{i} \in C_{q}(\Gamma)\right\}
\end{aligned}
$$

## SDP hierarchy for lower bounding $A_{q c}(P)$

$$
\begin{aligned}
A_{q c}(P) & =\inf \left\{\sum_{i=1}^{I} \lambda_{i} D_{q c}\left(P_{i}\right): I \in \mathbb{N}, \lambda \in \mathbb{R}_{+}^{I},\right. \\
& \left.\sum_{i=1}^{I} \lambda_{i}=1, P=\sum_{i=1}^{I} \lambda_{i} P_{i}, P_{i} \in C_{q}(\Gamma)\right\}
\end{aligned}
$$

- Suppose $\left(P_{i}, \lambda_{i}\right)_{i}$ is feasible for the above problem


## SDP hierarchy for lower bounding $A_{q c}(P)$

$$
\begin{aligned}
A_{q c}(P) & =\inf \left\{\sum_{i=1}^{I} \lambda_{i} D_{q c}\left(P_{i}\right): I \in \mathbb{N}, \lambda \in \mathbb{R}_{+}^{I},\right. \\
& \left.\sum_{i=1}^{I} \lambda_{i}=1, P=\sum_{i=1}^{I} \lambda_{i} P_{i}, P_{i} \in C_{q}(\Gamma)\right\}
\end{aligned}
$$

- Suppose $\left(P_{i}, \lambda_{i}\right)_{i}$ is feasible for the above problem
- Assume $P_{i}(a, b \mid s, t)=\operatorname{Tr}\left(X_{s}^{a}(i) Y_{t}^{b}(i) \psi_{i} \psi_{i}^{*}\right)$


## SDP hierarchy for lower bounding $A_{q c}(P)$

$$
\begin{aligned}
A_{q c}(P) & =\inf \left\{\sum_{i=1}^{I} \lambda_{i} D_{q c}\left(P_{i}\right): I \in \mathbb{N}, \lambda \in \mathbb{R}_{+}^{I},\right. \\
& \left.\sum_{i=1}^{I} \lambda_{i}=1, P=\sum_{i=1}^{I} \lambda_{i} P_{i}, P_{i} \in C_{q}(\Gamma)\right\}
\end{aligned}
$$

- Suppose $\left(P_{i}, \lambda_{i}\right)_{i}$ is feasible for the above problem
- Assume $P_{i}(a, b \mid s, t)=\operatorname{Tr}\left(X_{s}^{a}(i) Y_{t}^{b}(i) \psi_{i} \psi_{i}^{*}\right)$
- $\mathbb{R}\left\langle x_{s}^{a}, y_{t}^{b}, z\right\rangle$ the noncommutative polynomials in $x_{s}^{a}, y_{t}^{b}, z$


## SDP hierarchy for lower bounding $A_{q c}(P)$

$$
\begin{aligned}
& A_{q c}(P)=\inf \left\{\sum_{i=1}^{I} \lambda_{i} D_{q c}\left(P_{i}\right): I \in \mathbb{N}, \lambda \in \mathbb{R}_{+}^{I}\right. \\
&\left.\sum_{i=1}^{I} \lambda_{i}=1, P=\sum_{i=1}^{I} \lambda_{i} P_{i}, P_{i} \in C_{q}(\Gamma)\right\}
\end{aligned}
$$

- Suppose $\left(P_{i}, \lambda_{i}\right)_{i}$ is feasible for the above problem
- Assume $P_{i}(a, b \mid s, t)=\operatorname{Tr}\left(X_{s}^{a}(i) Y_{t}^{b}(i) \psi_{i} \psi_{i}^{*}\right)$
- $\mathbb{R}\left\langle x_{s}^{a}, y_{t}^{b}, z\right\rangle$ the noncommutative polynomials in $x_{s}^{a}, y_{t}^{b}, z$
- Define a linear form $\mathcal{L}$ on $\mathbb{R}\left\langle x_{s}^{a}, y_{t}^{b}, z\right\rangle$ by

$$
\mathcal{L}(p)=\sum_{i} \lambda_{i} \operatorname{Re}\left(\operatorname{Tr}\left(p\left(X(i), Y(i), \psi_{i} \psi_{i}^{*}\right)\right)\right)
$$

## SDP hierarchy for lower bounding $A_{q c}(P)$

$$
\begin{aligned}
& A_{q c}(P)=\inf \left\{\sum_{i=1}^{I} \lambda_{i} D_{q c}\left(P_{i}\right): I \in \mathbb{N}, \lambda \in \mathbb{R}_{+}^{I},\right. \\
& \left.\sum_{i=1}^{I} \lambda_{i}=1, P=\sum_{i=1}^{I} \lambda_{i} P_{i}, P_{i} \in C_{q}(\Gamma)\right\}
\end{aligned}
$$

- Suppose $\left(P_{i}, \lambda_{i}\right)_{i}$ is feasible for the above problem
- Assume $P_{i}(a, b \mid s, t)=\operatorname{Tr}\left(X_{s}^{a}(i) Y_{t}^{b}(i) \psi_{i} \psi_{i}^{*}\right)$
- $\mathbb{R}\left\langle x_{s}^{a}, y_{t}^{b}, z\right\rangle$ the noncommutative polynomials in $x_{s}^{a}, y_{t}^{b}, z$
- Define a linear form $\mathcal{L}$ on $\mathbb{R}\left\langle x_{s}^{a}, y_{t}^{b}, z\right\rangle$ by

$$
\mathcal{L}(p)=\sum_{i} \lambda_{i} \operatorname{Re}\left(\operatorname{Tr}\left(p\left(X(i), Y(i), \psi_{i} \psi_{i}^{*}\right)\right)\right)
$$

- We have $\mathcal{L}(1)=\sum_{i} \lambda_{i} D_{q c}\left(P_{i}\right)$


## SDP hierarchy for lower bounding $A_{q c}(P)$

$$
\begin{aligned}
& A_{q c}(P)=\inf \left\{\sum_{i=1}^{I} \lambda_{i} D_{q c}\left(P_{i}\right): I \in \mathbb{N}, \lambda \in \mathbb{R}_{+}^{I},\right. \\
& \left.\sum_{i=1}^{I} \lambda_{i}=1, P=\sum_{i=1}^{I} \lambda_{i} P_{i}, P_{i} \in C_{q}(\Gamma)\right\}
\end{aligned}
$$

- Suppose $\left(P_{i}, \lambda_{i}\right)_{i}$ is feasible for the above problem
- Assume $P_{i}(a, b \mid s, t)=\operatorname{Tr}\left(X_{s}^{a}(i) Y_{t}^{b}(i) \psi_{i} \psi_{i}^{*}\right)$
- $\mathbb{R}\left\langle x_{s}^{a}, y_{t}^{b}, z\right\rangle$ the noncommutative polynomials in $x_{s}^{a}, y_{t}^{b}, z$
- Define a linear form $\mathcal{L}$ on $\mathbb{R}\left\langle x_{s}^{a}, y_{t}^{b}, z\right\rangle$ by

$$
\mathcal{L}(p)=\sum_{i} \lambda_{i} \operatorname{Re}\left(\operatorname{Tr}\left(p\left(X(i), Y(i), \psi_{i} \psi_{i}^{*}\right)\right)\right)
$$

- We have $\mathcal{L}(1)=\sum_{i} \lambda_{i} D_{q c}\left(P_{i}\right)$
- Idea: minimize $L(1)$ over linear forms $L$ on $\mathbb{R}\left\langle x_{s}^{a}, y_{t}^{b}, z\right\rangle$ that satisfy certain computationaly tractable properties of $\mathcal{L}$


## SDP hierarchy for lower bounding $A_{q c}(P)$

$$
\begin{aligned}
& A_{q c}(P)=\inf \left\{\sum_{i=1}^{I} \lambda_{i} D_{q c}\left(P_{i}\right): I \in \mathbb{N}, \lambda \in \mathbb{R}_{+}^{I}\right. \\
&\left.\sum_{i=1}^{I} \lambda_{i}=1, P=\sum_{i=1}^{I} \lambda_{i} P_{i}, P_{i} \in C_{q}(\Gamma)\right\}
\end{aligned}
$$

- Suppose $\left(P_{i}, \lambda_{i}\right)_{i}$ is feasible for the above problem
- Assume $P_{i}(a, b \mid s, t)=\operatorname{Tr}\left(X_{s}^{a}(i) Y_{t}^{b}(i) \psi_{i} \psi_{i}^{*}\right)$
- $\mathbb{R}\left\langle x_{s}^{a}, y_{t}^{b}, z\right\rangle$ the noncommutative polynomials in $x_{s}^{a}, y_{t}^{b}, z$
- Define a linear form $\mathcal{L}$ on $\mathbb{R}\left\langle x_{s}^{a}, y_{t}^{b}, z\right\rangle$ by

$$
\mathcal{L}(p)=\sum_{i} \lambda_{i} \operatorname{Re}\left(\operatorname{Tr}\left(p\left(X(i), Y(i), \psi_{i} \psi_{i}^{*}\right)\right)\right)
$$

- We have $\mathcal{L}(1)=\sum_{i} \lambda_{i} D_{q c}\left(P_{i}\right)$
- Idea: minimize $L(1)$ over linear forms $L$ on $\mathbb{R}\left\langle x_{s}^{a}, y_{t}^{b}, z\right\rangle$ that satisfy certain computationaly tractable properties of $\mathcal{L}$
- Minimization of $L(1)$ used by [Nie 2017] in the commutative setting for the nuclear tensor norm


## SDP hierarchy for lower bounding $A_{q c}(P)$

- $\mathbb{R}\left\langle x_{s}^{a}, y_{t}^{b}, z\right\rangle_{2 r}$ noncommutative polynomials of degree $\leq 2 r$


## SDP hierarchy for lower bounding $A_{q c}(P)$

- $\mathbb{R}\left\langle x_{s}^{a}, y_{t}^{b}, z\right\rangle_{2 r}$ noncommutative polynomials of degree $\leq 2 r$
- Space of linear functionals: $\mathbb{R}\left\langle x_{s}^{a}, y_{t}^{b}, z\right\rangle_{2 r}^{*}$


## SDP hierarchy for lower bounding $A_{q c}(P)$

- $\mathbb{R}\left\langle x_{s}^{a}, y_{t}^{b}, z\right\rangle_{2 r}$ noncommutative polynomials of degree $\leq 2 r$
- Space of linear functionals: $\mathbb{R}\left\langle x_{s}^{a}, y_{t}^{b}, z\right\rangle_{2 r}^{*}$
- A functional is tracial if $L(p q)=L(q p)$ for all $p, q$


## SDP hierarchy for lower bounding $A_{q c}(P)$

- $\mathbb{R}\left\langle x_{s}^{a}, y_{t}^{b}, z\right\rangle_{2 r}$ noncommutative polynomials of degree $\leq 2 r$
- Space of linear functionals: $\mathbb{R}\left\langle x_{s}^{a}, y_{t}^{b}, z\right\rangle_{2 r}^{*}$
- A functional is tracial if $L(p q)=L(q p)$ for all $p, q$

$$
\begin{aligned}
\xi_{r}^{q}(P)=\min \{L(1): & L \in \mathbb{R}\left\langle x_{s}^{a}, y_{t}^{b}, z\right\rangle_{2 r}^{*} \text { tracial and symmetric, } \\
& L(z)=1, L\left(x_{s}^{a} y_{t}^{b} z\right)=P(a, b \mid s, t) \\
& L\left(p^{*} p\right) \geq 0 \text { for all } p \in \mathbb{R}\left\langle x_{s}^{a}, y_{t}^{b}, z\right\rangle_{r} \\
& \cdots\}
\end{aligned}
$$

## SDP hierarchy for lower bounding $A_{q c}(P)$

- $\mathbb{R}\left\langle x_{s}^{a}, y_{t}^{b}, z\right\rangle_{2 r}$ noncommutative polynomials of degree $\leq 2 r$
- Space of linear functionals: $\mathbb{R}\left\langle x_{s}^{a}, y_{t}^{b}, z\right\rangle_{2 r}^{*}$
- A functional is tracial if $L(p q)=L(q p)$ for all $p, q$
$\xi_{r}^{q}(P)=\min \left\{L(1): L \in \mathbb{R}\left\langle x_{s}^{a}, y_{t}^{b}, z\right\rangle_{2 r}^{*}\right.$ tracial and symmetric,

$$
\begin{aligned}
& L(z)=1, L\left(x_{s}^{a} y_{t}^{b} z\right)=P(a, b \mid s, t) \\
& L\left(p^{*} p\right) \geq 0 \text { for all } p \in \mathbb{R}\left\langle x_{s}^{a}, y_{t}^{b}, z\right\rangle_{r} \\
& \ldots\}
\end{aligned}
$$

- For each $r$ this is a semidefinite program whose optimal value lower bounds $A_{q c}(P)$


## SDP hierarchy for lower bounding $A_{q c}(P)$

- $\mathbb{R}\left\langle x_{s}^{a}, y_{t}^{b}, z\right\rangle_{2 r}$ noncommutative polynomials of degree $\leq 2 r$
- Space of linear functionals: $\mathbb{R}\left\langle x_{s}^{a}, y_{t}^{b}, z\right\rangle_{2 r}^{*}$
- A functional is tracial if $L(p q)=L(q p)$ for all $p, q$
$\xi_{r}^{q}(P)=\min \left\{L(1): L \in \mathbb{R}\left\langle x_{s}^{a}, y_{t}^{b}, z\right\rangle_{2 r}^{*}\right.$ tracial and symmetric,

$$
\begin{aligned}
& L(z)=1, L\left(x_{s}^{a} y_{t}^{b} z\right)=P(a, b \mid s, t) \\
& L\left(p^{*} p\right) \geq 0 \text { for all } p \in \mathbb{R}\left\langle x_{s}^{a}, y_{t}^{b}, z\right\rangle_{r} \\
& \ldots\}
\end{aligned}
$$

- For each $r$ this is a semidefinite program whose optimal value lower bounds $A_{q c}(P)$
- Why SDP? Because $L\left(p^{*} p\right) \geq 0$ is equivalent to $M(L) \succeq 0$, where $M(L)_{u, v}=L\left(u^{*} v\right)$ for all monomials $u, v$


## Convergence of the hierarchy

## Convergence of the hierarchy

- For $r=\infty$ we have an infinite dimensional SDP where we use the full algebra $\mathbb{R}\left\langle x_{s}^{a}, y_{t}^{b}, z\right\rangle$


## Convergence of the hierarchy

- For $r=\infty$ we have an infinite dimensional SDP where we use the full algebra $\mathbb{R}\left\langle x_{s}^{a}, y_{t}^{b}, z\right\rangle$
- We have $\xi_{r}^{q}(P) \rightarrow \xi_{\infty}^{q}(P)$


## Convergence of the hierarchy

- For $r=\infty$ we have an infinite dimensional SDP where we use the full algebra $\mathbb{R}\left\langle x_{s}^{a}, y_{t}^{b}, z\right\rangle$
- We have $\xi_{r}^{q}(P) \rightarrow \xi_{\infty}^{q}(P)$
- Let $\xi_{*}^{q}(P)$ be the same as $\xi_{\infty}^{q}(P)$ with the additional constraint $\operatorname{rank}(M(L))<\infty$


## Convergence of the hierarchy

- For $r=\infty$ we have an infinite dimensional SDP where we use the full algebra $\mathbb{R}\left\langle x_{s}^{a}, y_{t}^{b}, z\right\rangle$
- We have $\xi_{r}^{q}(P) \rightarrow \xi_{\infty}^{q}(P)$
- Let $\xi_{*}^{q}(P)$ be the same as $\xi_{\infty}^{q}(P)$ with the additional constraint $\operatorname{rank}(M(L))<\infty$

We have $\xi_{*}^{q}(P)=A_{\mathrm{qc}}(P)$

## Convergence of the hierarchy

- For $r=\infty$ we have an infinite dimensional SDP where we use the full algebra $\mathbb{R}\left\langle x_{s}^{a}, y_{t}^{b}, z\right\rangle$
- We have $\xi_{r}^{q}(P) \rightarrow \xi_{\infty}^{q}(P)$
- Let $\xi_{*}^{q}(P)$ be the same as $\xi_{\infty}^{q}(P)$ with the additional constraint $\operatorname{rank}(M(L))<\infty$

We have $\xi_{*}^{q}(P)=A_{\mathrm{qc}}(P)$

- Proof uses GNS construction and Artin-Wedderburn theory, and the linear constraints not shown in the program


## Convergence of the hierarchy

- For $r=\infty$ we have an infinite dimensional SDP where we use the full algebra $\mathbb{R}\left\langle x_{s}^{a}, y_{t}^{b}, z\right\rangle$
- We have $\xi_{r}^{q}(P) \rightarrow \xi_{\infty}^{q}(P)$
- Let $\xi_{*}^{q}(P)$ be the same as $\xi_{\infty}^{q}(P)$ with the additional constraint $\operatorname{rank}(M(L))<\infty$

We have $\xi_{*}^{q}(P)=A_{\mathrm{qc}}(P)$

- Proof uses GNS construction and Artin-Wedderburn theory, and the linear constraints not shown in the program
- Perhaps counterintuitively, the constraint $L(w z u z v z)=L(w z v z u z)$ (we get this trick from [Navascués 2012]) to force " $z$ " to be rank-1 is crucial (see proof)


## Convergence of the hierarchy

- For $r=\infty$ we have an infinite dimensional SDP where we use the full algebra $\mathbb{R}\left\langle x_{s}^{a}, y_{t}^{b}, z\right\rangle$
- We have $\xi_{r}^{q}(P) \rightarrow \xi_{\infty}^{q}(P)$
- Let $\xi_{*}^{q}(P)$ be the same as $\xi_{\infty}^{q}(P)$ with the additional constraint $\operatorname{rank}(M(L))<\infty$

We have $\xi_{*}^{q}(P)=A_{\mathrm{qc}}(P)$

- Proof uses GNS construction and Artin-Wedderburn theory, and the linear constraints not shown in the program
- Perhaps counterintuitively, the constraint $L(w z u z v z)=L(w z v z u z)$ (we get this trick from [Navascués 2012]) to force " $z$ " to be rank-1 is crucial (see proof)
- Under a certain flatness condition we have $\xi_{r}^{q}(P)=\xi_{*}^{q}(P)$


## Matrix factorization ranks

- cpsd-rank $(M)$ is the smallest $d$ for which there are Hermitian psd matrices $X_{1}, \ldots, X_{n} \in \mathbb{C}^{d \times d}$ with $M_{i j}=\operatorname{Tr}\left(X_{i} X_{j}\right)$


## Matrix factorization ranks

- cpsd-rank $(M)$ is the smallest $d$ for which there are Hermitian psd matrices $X_{1}, \ldots, X_{n} \in \mathbb{C}^{d \times d}$ with $M_{i j}=\operatorname{Tr}\left(X_{i} X_{j}\right)$
- Let $P$ be a synchronous correlation


## Matrix factorization ranks

- cpsd-rank $(M)$ is the smallest $d$ for which there are Hermitian psd matrices $X_{1}, \ldots, X_{n} \in \mathbb{C}^{d \times d}$ with $M_{i j}=\operatorname{Tr}\left(X_{i} X_{j}\right)$
- Let $P$ be a synchronous correlation
- Combining proofs from [Sikora-Varvitsiotis 2017] and [Paulsen-Severini-Stahlke-Todorov-Winter 2016] gives

$$
D_{q}(P)=\operatorname{cpsd}-\operatorname{rank}\left(M_{P}\right)
$$

where $\left(M_{P}\right)_{(s, a),(t, b)}=P(a, b \mid s, t)$

## Matrix factorization ranks

- cpsd-rank $(M)$ is the smallest $d$ for which there are Hermitian psd matrices $X_{1}, \ldots, X_{n} \in \mathbb{C}^{d \times d}$ with $M_{i j}=\operatorname{Tr}\left(X_{i} X_{j}\right)$
- Let $P$ be a synchronous correlation
- Combining proofs from [Sikora-Varvitsiotis 2017] and [Paulsen-Severini-Stahlke-Todorov-Winter 2016] gives

$$
D_{q}(P)=\operatorname{cpsd}-\operatorname{rank}\left(M_{P}\right)
$$

where $\left(M_{P}\right)_{(s, a),(t, b)}=P(a, b \mid s, t)$

- In an earlier paper we have hierarchies for lower bounding matrix factorization ranks, and the hierarchy for $A_{q}(P)$ is an adaptation of this for (nonsynchronous) quantum correlations


## Quantum graph parameters

- Using similar techniques we introduce semidefinite programming hierarchies for the quantum chromatic and quantum stability numbers


## Quantum graph parameters

- Using similar techniques we introduce semidefinite programming hierarchies for the quantum chromatic and quantum stability numbers
- This unifies some of the existing literature; for example, the projective packing number, projective rank, and the tracial rank can be seen as certain steps in the hierarchies


## Thank you!

S. Gribling, D. de Laat, M. Laurent, Bounds on entanglement dimensions and quantum graph parameters via noncommutative polynomial optimization, Math. Program., Ser. B (2018), 38 pages

