Energy minimization via conic programming hierarchies

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- a set V (container)
- a function  $w \colon V \times V \to \mathbb{R}_{\geq 0} \cup \{\infty\}$  (pair potential)
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Example

For the Thomson problem we take 
$$V=S^2$$
 and  $w(x,y)=\|x-y\|^{-1}$ 

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Approach to finding lower bounds

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- $1. \ \mbox{Relax}$  the problem to a conic optimization problem
- 2. Find good feasible solutions to the dual problem

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- ▶ k-point bounds using the stabilizer subgroup of k 2 points [Musin 2007]

 Hierarchy for energy minimization based on a generalization by [L.-Vallentin 2013] of the Lasserre hierarchy for the independent set problem to infinite graphs

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Convergent hierarchy of *finite* semidefinite programs

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- Convergent hierarchy of *finite* semidefinite programs
- Application to low dimensional spaces

Restrict to particle configurations whose points are not "too close":

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- View w as an element in  $\mathcal{C}(I_{2t})$

▶ We define a hierarchy of conic optimization problems with optimal values *E*<sub>1</sub>, *E*<sub>2</sub>, ... such that

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• If S is a N-particle configuration, then

$$\chi_S = \sum_{R \subseteq S: |R| \le 2t} \delta_R$$

is a feasible measure (this proves  $E_t \leq E_t$ ), we have  $E_t \leq E_t$  is a second seco

#### Cone of moment measures

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- This is the main step in proving  $E_N = E$

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- ► In the dual hierarchy optimization is over scalars a<sub>i</sub> and elements L in the dual cone K<sub>t</sub>(G)\*

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$$E_t^* = \sup \left\{ \sum_{i=0}^{\min\{2t,N\}} \binom{N}{i} a_i : a_0, \dots, a_{\min\{2t,N\}} \in \mathbb{R}, \ L \in K_t(G)^*, \\ a_i - L \le w \text{ on } I_{=i} \text{ for } i = 0, \dots, \min\{2t,N\} \right\}$$

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▶ The elements *L* are of the form  $A_t K$  for  $K \in C(V_t \times V_t)_{\succeq 0}$ 

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The elements L are of the form A<sub>t</sub>K for K ∈ C(V<sub>t</sub> × V<sub>t</sub>)<sub>≥0</sub>
Strong duality holds: E<sub>t</sub> = E<sup>\*</sup><sub>t</sub>

▶ Assume w is  $\Gamma$ -invariant:  $w(\gamma x, \gamma y) = w(x, y)$  for all  $\gamma \in \Gamma$ ,  $x, y \in V$ 

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- $\Gamma$  acts on  $V_t$  by  $\gamma \emptyset = \emptyset$  and  $\gamma \{x_1, \dots, x_t\} = \{\gamma x_1, \dots, \gamma x_t\}$
- ▶ Bochner's theorem:  $K \in C(V_t \times V_t)_{\succ 0}^{\Gamma}$  is of the form

$$K(J,J') = \sum_{k=0}^{\infty} \langle F_k, Z_k(J,J') \rangle$$
 where

 $F_k$ : positive semidefinite matrices (the Fourier coefficients)  $Z_k$ : zonal matrices corresponding to the action of  $\Gamma$  on  $V_t$ 

• Restrict the series  $\sum_{k=0}^{\infty} \langle F_k, Z_k(J, J') \rangle$  to the first d terms

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- ▶ Use principal submatrices  $Z_{k,d}$  of  $Z_k$  of size  $s_{k,d}$ (where  $s_{k,d} \to \infty$  as  $d \to \infty$ )
- This gives a semi-infinite semidefinite program  $E_{t,d}^*$

- Restrict the series  $\sum_{k=0}^{\infty} \langle F_k, Z_k(J, J') \rangle$  to the first d terms
- ▶ Use principal submatrices  $Z_{k,d}$  of  $Z_k$  of size  $s_{k,d}$ (where  $s_{k,d} \to \infty$  as  $d \to \infty$ )
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• By a summability method we have  $E^*_{t,d} \to E^*_t$  as  $d \to \infty$ 

• The linear constraints in  $E_{t,d}^*$  are of the form

$$a_i - \sum_{k=0}^d \langle F_k, A_t Z_{k,d} \rangle \le w$$
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- Variable transformation to write the above as polynomial inequalities over a semialgebraic set (depends on the application)
- ► Using sums of squares characterizations E<sup>\*</sup><sub>t,d</sub> can be approximated by a sequence of finite semidefinite programs

Zonal matrices as polynomial matrices in the inner products:

$$Z_k(\{x_1,\ldots,x_t\},\{y_1,\ldots,y_t\})_{i,j} = \left(\prod_{r,s=1}^t (x_r \cdot x_s)^i (y_r \cdot y_s)^j\right) \sum_{r,s=1}^t T_k(x_r \cdot y_s)^{i,j}$$

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- Each inner product is a trigonometric polynomial in these angles

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- ► Lennard-Jones potential: Based on a sampling implementation it appears that for e.g. *N* = 3 we have

$$E_1 < E_2 = E$$

Thank you!